

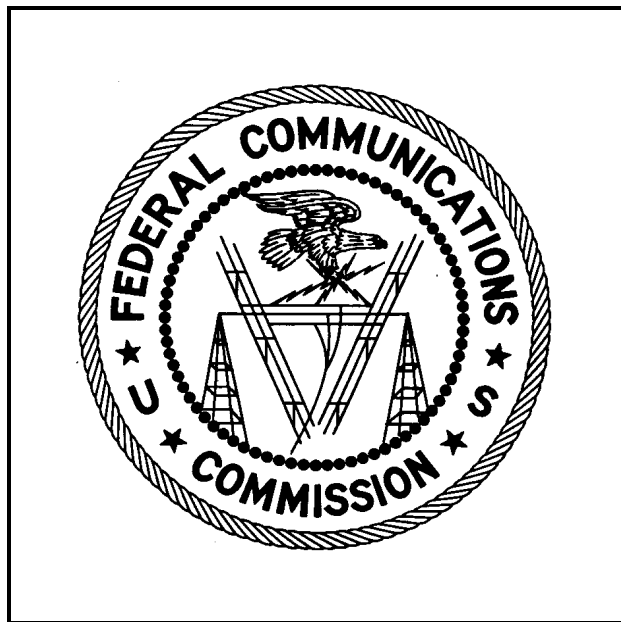
FEDERAL COMMUNICATIONS COMMISSION

COMPLIANCE AND INFORMATION BUREAU

FEE FILING GUIDE

FOR

SHIP INSPECTIONS



This is an unofficial compilation of the radio services and requests for FCC actions that are subject to fees. The public should consult the Commission's rules as set out in Title 47 of the Code of Federal Regulations (CFR) for application filing requirements. Further information on fees may be obtained in Part 1, Subpart G of the CFR or in the Commission's official decision implementing the Congressional Schedule of Charges. This decision is published in the FCC Record (9 FCC Rcd 7019 (1994)) or may be purchased from the Commission's current copy contractor.

The fee amounts contained in this guide are subject to review and may result in changes to these amounts. The FCC will issue a notice to reflect any changes.

September 1996 Edition

INTRODUCTION

The authority to impose and collect fees and associated charges is contained in Title III, Section 3001 of the Omnibus Budget Reconciliation Act of 1989 (Public Law 101-39), Section 8, revising 47 U.S.C. § 158, which directs the Commission to prescribe charges for certain types of services it provides to communications entities over which it has jurisdiction. Section 6003(a) of the Omnibus Budget Reconciliation Act of 1993 (Public Law 103-66) adds a new Section 9 to the Communications Act. It authorizes the Commission to assess and collect annual regulatory fees to recover costs incurred in carrying out its enforcement activities, policy and rulemaking activities, user information services and international activities. Regulatory fees are not required for Compliance and Information Bureau filings.

This Fee Filing Guide is considered a reference tool to identify and describe all of the fee filing requirements for this particular bureau or office. It is meant to be a handy reference of the services and requests for FCC actions that are subject to a fee. The guide contains Parts A & B as well as a breakdown of the types of applications, form numbers, fee amounts, payment type codes, and the mailing address for each service provided. It also contains a copy of FCC Form 159, FCC Remittance Advice Form, and instructions on its use.

Part A provides instructions on how to pay a fee and identifies other processing services available to the requestor. Always read Part A before attempting to complete any of the forms required with your submission. Part B provides specific information pertaining to this bureau.

If further information is required that cannot be answered in this guide, please consult the Commission's rules as set forth in Title 47, Part 1, Subpart G, Sections 1.1101-1.1166 of the Code of Federal Regulations (CFR). Copies of the CFR may be purchased from the U.S. Government Printing Office, Superintendent of Documents, Mail Stop SSOP, Washington, DC 20402-9328, Telephone (202) 512-1800. Additional copies of this guide may be obtained without charge by calling (202) 418-3676; outside the Washington, D.C. area, call 1-800-418-3676. All fees are subject to modification as required by Congress.

PART A

METHOD OF PAYMENT (DO NOT SEND CASH)

Payment of fees may be made by check, bank draft, money order, credit card, or bank-to-bank wire transfer. If paying by check, bank draft, or money order, your remittance must be denominated in U.S. dollars, drawn on a U.S. financial institution and made payable to "FCC". Applicants located in foreign countries should contact their local bank to determine what U.S. financial institution they are affiliated with that will allow a transfer of funds. No postdated, altered or third-party checks will be accepted. No checks will be accepted for processing if older than six months.

Payment of fees, fines and other debts may also be made by electronic payment. There are two types of electronic payments available. The first type is designed specifically for larger corporations capable of CPU/CPU communication. Under this plan, the payor instructs his bank to wire funds directly to the Commission's lockbox bank. As with cash payments, the funds must be wired from a U.S. financial institution. The second type is designed for smaller businesses and individuals. Under this plan, the lockbox bank is given authority to withdraw funds from the customer's bank account. Authorization can be transmitted by PC or by calling a toll-free number. To obtain more information about electronic payments and how the system works, please call the Commission's Billings and Collections Branch at (202) 418-1995.

See Page 7 of Part B for instructions for paying a fee through Western Union.

NONFEEABLE APPLICATIONS

Ship inspection applicants that are governmental entities (federal, state, local) are exempt from fees. Such applicants should ignore Part B instructions and file their applications directly with the FCC field office responsible for conducting the inspection. Charitable and nonprofit organizations are not exempt from fees. See Section 1.1114 of FCC Rules. 47 C.F.R. § 1.1114.

MANAGING DIRECTOR DECISIONS

All requests for refunds, reconsideration, applications for review, etc., are handled by the Office of the Managing Director. The requestor will receive written notification of the Managing Director's decision. These decisions are placed in FCC Docket 86-285. All such requests should be submitted in writing to:

Managing Director
Federal Communications Commission
1919 M Street, N.W., Room 852
Washington, D.C. 20554

REFUND PROCESS

The refund process usually takes four (4) weeks depending upon the complexity of the request. Once a refund request has been approved, it is forwarded to the U. S. Treasury in San Francisco, California where a check is issued to the maker of the payment. For further information on return or refund of charges, refer to Section 1.1113 of the Commission's rules, 47 C.F.R. § 1.1113.

WAIVERS, DEFERRALS AND FEE DETERMINATIONS

All waiver requests filed in Washington, D.C. must be accompanied by the required filing fee for the service requested. All requests for deferrals and fee determinations are filed with the Managing Director in the Washington, D.C. Office (see mailing address above).

MAILING INSTRUCTIONS

Parties hand-delivering applications or filings may receive dated receipt copies by presenting copies of the applications or filings to the acceptance clerk at the time of delivery. Receipts will be provided for mail-in applications or filings if an extra copy of the application or filing is provided along with a self-addressed stamped envelope. Only one piece of paper per application will be stamped for receipt purposes. A "stamp and receipt" copy should be placed on top of the original package and CLEARLY identified as a return copy.

QUESTIONS

If you have any questions regarding your application and/or fee, you may call the FCC's Public Service Division at (202) 418-0190.

PART B

The FCC Compliance and Information Bureau requires fees for compulsory ship inspections.

Federal Law requires the periodic inspection of radio communications systems on both cargo and passenger vessels. Inspection fees are required for:

- (1) all ocean going vessels (Title III, Part II)
- (2) passenger vessels (Title III, Part III)
- (3) vessels operating under the Great Lakes Agreement
- (4) foreign vessels operating under the Safety of Life At Sea Convention (SOLAS)
- (5) applications for temporary waiver of inspection requirement.

FILING YOUR INSPECTION APPLICATION WITH THE COMPLIANCE AND INFORMATION BUREAU

See below to determine the proper fee and fee type code for your particular class of ship inspection.

See instructions beginning on Page 7 for special instructions on paying the fee by Western Union when there is insufficient time to apply for inspection by mail.

HOW TO SUBMIT APPLICATIONS

1. Use FCC Form 801, Application for Ship Radio Inspection or Survey, to apply for all classes of compulsory ship inspections. If using the April, 1991, or earlier edition, or if using a credit card to pay the fee, or if paying the fee by electronic funds transfer, or if submitting multiple applications with a single remittance, you must also submit a completed FCC Form 159, FCC Remittance Advice.
2. Take care to place the correct Fee Type Code on Form 159 (or on Page 1 of Form 801 if not filing Form 159). See listings on following pages. An incorrect code will result in processing delays.
3. Make certain that the amount of the fee is correct. Applications without fees or with insufficient amount of fees will be returned without action.

4. See instructions in PART A on how to submit payments. To assist us in processing your application, it would be helpful if you would place the call sign of your ship radio station on your check or money order. Assemble each application or filing with the Remittance Advice form (when used) stapled to the top of the application with the check or money order placed on top of the Remittance Advice form. Do not staple the check or money order to the application or remittance advice form. Do not include extra copies of applications unless they are clearly marked "extra copies" and are placed behind the original package. Do not include extra material not necessary to process the application. Failure to follow these instructions will delay your submission.

5. Mail completed application packages to the address shown on the following pages. Address all application packages to the proper P. O. box number, even if hand-delivered in person or by messenger. See the special address on Page 8 for delivering applications in person or by messenger. Applications received before midnight on a normal business day will receive that day's date as the receipt date. Deliveries made after midnight on Fridays will not be "officially" receipted until the next Monday. Applications received on weekends and government holidays are dated the next regular business day. Applicants are urged to mail their applications at least three weeks in advance of the inspection date. When applications must be filed on short notice, applicants should consider using Western Union or submission of fees by electronic transfer of funds. Delays in receipt of fees may result in postponement of inspection appointments. **FEES MUST BE PAID IN ADVANCE AND ARE NOT REFUNDABLE.**

For additional fee information, call the Fees Hotline: 202-418-0190

FEE TYPE CODES AND APPLICATION FEES FOR SHIP INSPECTIONS

	<u>FEE TYPE CODE</u>	<u>FEE</u>
1) PASSENGER VESSEL INSPECTION, TITLE III, PART III	FCS	\$390

United States vessels which transport more than six passengers for hire. These are usually known as crew boats or party boats. If a reinspection is necessary at another date, an additional fee is required. Mail completed FCC Forms 801, 159 and payment to:

Federal Communications Commission
P. O. Box 358110
Pittsburgh, PA 15251-5110

Note: FCC Form 159, FCC Remittance Advice, is not required to accompany the April, 1992 and later editions of FCC Form 801 unless paying by credit card or electronic funds transfer or submitting multiple applications.

FEE TYPE CODE FEE

2) OCEANGOING VESSELS, TITLE III, PART II INSPECTION FFS \$755

Oceangoing U.S. vessels under Title III, Part II of the Communications Act. Includes cargo ships over 304 metric tons (300 gross tons) and passenger ships not covered above. If a reinspection is necessary on another date, an additional fee is required. Mail completed FCC Forms 801, 159 and payment to:

Federal Communications Commission
P. O. Box 358110
Pittsburgh, PA 15251-5110

Note: FCC Form 159, FCC Remittance Advice, is not required to accompany the April, 1992 and later editions of Form 801 unless paying by credit card or electronic funds transfer or submitting multiple applications.

FEE TYPE CODE FEE

3) GREAT LAKES AGREEMENT FDS
\$110

U.S. flag vessels subject to the Great Lakes Agreement. If a reinspection is necessary on another date, an additional fee is required. Mail completed FCC Forms 801, 159 and payment to:

Federal Communications Commission
P. O. Box 358110
Pittsburgh, PA 15251-5110

Note: FCC Form 159, FCC Remittance Advice, is not required to accompany the April, 1992 and later editions of FCC Form 801 unless paying by credit card or electronic funds transfer or submitting multiple applications.

FEE TYPE CODE FEE

- 4) SOLAS INSPECTION (Vessels of foreign registry only) FES
\$660

Foreign flag vessels subject to the Safety of Life at Sea Convention (SOLAS). If a reinspection is necessary on another date, an additional fee is required. Mail completed FCC Forms 801, 159 and payment to:

Federal Communications Commission
P. O. Box 358110
Pittsburgh, PA 15251-5110

Note: FCC Form 159, FCC Remittance Advice, is not required to accompany the April, 1992 and later editions of FCC Form 801 unless paying by credit card or electronic funds transfer or submitting multiple applications.

FEE TYPE CODE FEE

- 5) TEMPORARY WAIVER OF INSPECTION FBS \$75

Request for waiver of annual inspection not to exceed 90 days for Title III, Part III vessels and 30 days for Title III, Part II vessels. Mail written request, completed FCC Form 159 and payment to the following address:

Federal Communications Commission
P. O. Box 358110
Pittsburgh, PA 15251-5110

Note: Make certain that the written request contains adequate justification for the action requested. Title III, Part II vessels are eligible only when arriving from a foreign port at a U. S. port where no inspections are available so that they may proceed coastwise to another port where inspections can be performed.

URGENT SHIP INSPECTIONS

A fee payment must accompany all applications requiring a fee. When there is insufficient time to mail and process the application and fee payment prior to the date of an urgent ship inspection (about two weeks), the following procedure is available:

The Commission has completed arrangements so that applicants for urgent ship inspections may submit their application fees to the Commission by Western Union. To use this service, proceed as follows:

1. Applicant should telephone the nearest FCC office to arrange an inspection appointment. Applicant should request from the FCC office the location of the nearest Western Union office (or call Western Union at 800-325-6000 for the nearest Western Union location). Applicant should also obtain from the FCC office the correct fee type code and fee amount for his particular kind of inspection.
2. Applicant should go to the nearest Western Union office and request a blue Quick Collect form from the agent. Applicant is advised that Western Union accepts only cash and charges an additional fee for this service.
3. Applicant must enter the correct fee amount for his particular inspection type on the first line of the form. Applicant must enter "Federal Communications Commission" on the second line. Applicant must enter "FCC" in the Code City block and "DC" in the Province/State block on the third line. On the fourth through seventh lines, applicant must enter his name and address on the Western Union Quick Collect form exactly the same as they appear or will appear on the first page of the Application for Ship Radio Inspection or Survey, FCC Form 801. Applicant should enter the name of the ship and its radio call sign on the eighth line marked "Sender's account number with payee company". On the ninth line, applicant should print the words: "PAYMENT TYPE CODE" followed by the code he obtained by telephone from his FCC office or from the preceeding pages.
4. The agent will complete the right side of the form and give applicant a copy.
5. Applicant will take the completed and validated Western Union Quick Collect form to the inspection where he will complete FCC Form 801, Application for Ship Radio Inspection or Survey (if there is insufficient time to submit the Form 801 in advance). Applicant will ascertain that the Fee Type Code, the fee amount, applicant's name and address, and ship name and call sign on the two forms are all identical. Applicant should write the Money Transfer Control Number from the Western Union Quick Collect form on the lower part of the first page of FCC Form 801.
6. Applicant should send the completed FCC Form 801 to his local FCC office, or, if there is insufficient time, present it to the FCC inspector at the time of inspection.

Applicant should keep the validated Western Union Quick Collect form as proof of payment of the fee. Applicant should be prepared to show the Quick Collect form or provide its validation number to the FCC inspector at time of inspection but should not surrender it to the FCC inspector nor mail it to the FCC with the FCC Form 801.

Note:

When delivering feeable applications in person or by courier, use the following address:

**Federal Communications Commission
c/o Mellon Bank
Three Mellon Bank Center
525 William Penn Way
27th Floor, Room 153-2713
Pittsburgh, Pennsylvania 15259-0001
(Attention: Wholesale Lockbox Shift Supervisor)**

The above address is for hand or courier delivery only. DO NOT use it for mailing applications. When using the above address, enclose the application package in an inner envelope marked with the correct Post Office Box number, 358110.